

## RESEARCH

# Do non-targeted gastric biopsies affect the management of patients, and can a simple protocol and education reduce the rate?

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## ABSTRACT

**Objective** To determine whether development of localised protocol could reduce the number of non-targeted gastric biopsies taken at endoscopy, without risking harm from non-detection of malignant conditions.

**Design** Retrospective analysis of patient records over a 3-month period in 2013, repeated in 2015 following intervention.

**Setting** Two UK teaching hospitals

**Patients** Patient record data on indication for endoscopy, endoscopy findings, histopathology results and patient outcome.

**Interventions** Guidance on upper gastrointestinal biopsy in the form of a new trust-wide protocol, as well as lecture-based education.

**Main outcome measures** Rates of non-targeted and targeted biopsies before and after intervention, and differences between grade of endoscopist.

**Results** Between 2013 and 2015, there was a 36% reduction in non-targeted biopsies (10.4% vs 6.7%,  $p=0.001$ ), predominantly within registrar and nurse endoscopist groups, with reduction in non-targeted biopsies of 9.5% and 64%, respectively. Percentage of targeted biopsies remained relatively static, 7.9% and 8.2%. In 2013, 92% of non-targeted biopsies had no management change based on histology; in 2015 this was 90%. Of patients with alteration to management, only 0.4% and 0.7% were due to malignancy, in known high-risk patients. Reduction in non-targeted biopsies resulted in estimated annual savings in this trust of £36,000.

**Conclusion** Development of local protocol reduces the numbers of non-targeted biopsies taken, without risk of harm from non-detection of malignant conditions, enabling a significant reduction in workload within busy histopathology services, with significant cost savings. Localised protocols are adaptable to local population demographics.

## INTRODUCTION

Gastric biopsy remains a critical adjunct in the detection and diagnosis of significant gastric pathology including malignancy, as well as enabling the exclusion of malignancy where endoscopy has shown suspicious features in the gastric mucosa. A system for biopsy must be cost-effective yet robust enough to ensure detection of gastric cancers. This includes patients with ‘alarm’ symptoms (eg, weight loss, advanced age), and ‘high-risk’ patients (eg, those with a strong family history where there should be a low threshold for biopsy).<sup>1</sup>

However, unnecessary biopsy sampling of the gastric mucosa for histological analysis is both costly, and does not alter the management of the majority of patients.<sup>2</sup> Examples include where gastroscopy (OGD) demonstrates ‘gastritis’ in a patient without ‘alarm’ features, or the biopsy is taken solely for *Helicobacter pylori* (Hp) detection in cases where another test would be as effective and less expensive. Taking non-targeted or unnecessary biopsies from gastric mucosa remains widespread practice. Research to date has shown that in the majority of cases there is no benefit to the patient in taking a gastric biopsy where there is no clear indication.<sup>3</sup> Biopsy of normal or non-suspicious mucosa has not been shown to increase the finding of neoplasia.<sup>4</sup>

Histopathology resources are limited and under pressure. It is essential that we practice cost-effective yet diagnostically sensitive methods of obtaining biopsies during endoscopy.

Reasons for the high percentage of ‘unnecessary’ biopsy may be due to global and local lack of guidelines for necessary biopsy within the gastrointestinal tract.

The Sydney System<sup>5</sup> for biopsy remains the best known guidance; however, it may not adapt to local demands and does not provide specific guidance on what does not require biopsy. Increased sampling of non-targeted biopsies may also relate to the grade of endoscopist, as those with less experience may be more inclined to take unnecessary biopsies, being less confident to manage risk or make endoscopic diagnoses.<sup>6</sup>

Shepherd and Valori have suggested that the development of local guidelines for gastric biopsy may reduce the number of unnecessary biopsies, while allowing for local adjustments within practice.<sup>7</sup>

## METHODS

Initial data were collected over a 3-month period between 2 January 2013 and 31 March 2013, across two teaching hospital sites within the same trust. Details of patients who had undergone gastric biopsy during this period were obtained from our histopathology database, enabling us to access histopathology results for each biopsy taken. We were then able to access our endoscopy database for each patient. Data were obtained on patient demographics, reason for endoscopy, endoscopy findings/appearance of gastric mucosa, grade of endoscopist and whether an urease-based *Helicobacter* test (UBHT) was taken and its result. All endoscopists were either in training with an experienced trainer, or were Joint Advisory Group on GI Endoscopy (JAG) accredited to be independent.

By accessing the electronic patient medical record database, we were able to determine whether the biopsy taken was targeted or non-targeted, and whether the biopsy histopathology result altered the management of the patient. A targeted biopsy was defined as the presence at OGD of a polyp, ulcer or any other lesion or appearance at endoscopy documented by the operator as being suspicious or suspected malignancy. Non-targeted biopsy was any other appearance including normal, and 'gastritis'.

To look at cost-effectiveness, we obtained details on the cost of biopsy processing from our histopathology department. The cost of a biopsy set included manpower and processing costs within histopathology, and we also looked at the combined cost of UBHT plus endoscopic forceps use.

Following on from the initial results, education within the trust was provided by two means. The first was oral presentations on the findings of the first data collection period, along with a question and answer session to obtain suggestions for the second intervention. This was developing a local protocol with guidance on when to obtain a biopsy at OGD, for use by both medical and surgical departments. The protocol was presented as a simple flow chart which was placed within all endoscopy theatres across the two sites (figure 1).

Once education had taken place and protocol guidance had been in place for 7 months, comparative data were collected for patients who had undergone gastric

biopsy over a further 3-month period, between 1 June 2015 and 31 August 15. This was analysed in an identical fashion so the two periods could be compared. This comparison included looking at the differences between grades of endoscopist. We performed  $\chi^2$  tests to assess the statistical significance of our data.

## RESULTS

### Demographics

Data for the first cycle of audit were collected from 2 January 2013 to 30 March 2013, and the second cycle from 1 June 2015 to 31 August 2015.

The age range for each cycle was similar; age 18–97 years in 2013 and age 18–93 years in 2015. Median age was 66 years for both.

In 2013, 419 biopsy sets were collected with a total of 2288 OGDs performed in this 3-month period, giving a biopsy rate of 18.3%. In 2015, 333 biopsy sets were collected with total OGDs in the 3-month period of 2244, giving a biopsy rate of 14.8%,  $p=0.0001$ . Table 1 gives a breakdown of data collection by year and grade of endoscopist.

### Non-targeted biopsy data

In 2013, 57% of biopsy sets were non targeted ( $n=239/419$ ). This represented 10.4% of the total number of OGDs. In 2015, 45% of the biopsy sets were non-targeted ( $n=150/333$ ); this represented 6.7% of the total number of OGDs,  $p=0.001$ . Table 2 demonstrates the histopathology result of non-targeted biopsies by year.

In 2013, of the non-targeted biopsy sets, 92% ( $n=220$ ) had no change to management based on histology. In 2015, 90% ( $n=135$ ) had no change to management based on histology.

The 3% unknown results in 2015 could not be obtained from computer histology records, but on reviewing patient records, none resulted in any change in management as a result of histology.

In 2013, 8% ( $n=19$ ) had patient management changed based on histology:

One had a gastrectomy (histology showed 'high suspicion of adenocarcinoma' with 'normal' OGD appearance—a man aged 42 years undergoing surveillance for strong family history of gastric adenocarcinoma.

Six had eradication therapy as no UBHT was documented as being taken at time of OGD.

Six had recommendation to GP for eradication therapy as unclear on OGD of UBHT result.

Two were referred for further Hp testing as histology showed 'active gastritis possibly related to Hp but no organisms', and no UBHT documented.

Three patients had letters to general practitioner (GP) recommending proton pump inhibitor therapy (PPI) as histology showed gastritis (but all showed gastritis at OGD).

One patient was recommended for repeat OGD in 1 year due to low-grade dysplasia on histology.

**Biopsy protocol during upper GI endoscopies:  
Newcastle Hospitals**

	<b>BIOPSY</b>	<b>DO NOT BIOPSY</b>
<b>Oesophageal</b>	<ul style="list-style-type: none"> <li>• Appearances suggestive of Barrett's oesophagus, or surveillance of (4 quadrant biopsies at 2cm intervals)</li> <li>• Visible lesions, inc within Barrett's</li> <li>• If a history of dysphagia with or without oesophagitis – take from mid and lower oesophagus</li> <li>• Appearances of oesophagitis IF immunosuppressed</li> </ul>	<ul style="list-style-type: none"> <li>• Heterotopic columnar mucosa</li> <li>• Inflamed GOJ/ GORD/ reflux oesophagitis or irregular Z-line</li> </ul>
<b>Stomach</b>	<ul style="list-style-type: none"> <li>• Any suspicious mass, lesion, ulcer or mucosal irregularity (8 biopsies)</li> <li>• High risk patients (strong family history, alarm symptoms, PA) – from antrum and body</li> <li>• Polyps (non-fundic gland); remove polyp if &gt; 1cm or previous proven dysplasia – discuss at Upper GI MDT first</li> </ul>	<ul style="list-style-type: none"> <li>• Appearances suggestive of gastritis</li> <li>• Normal appearance of mucosa</li> <li>• Solely for histopathological confirmation of <i>Helicobacter pylori</i> – if patient on PPI or in the presence of blood then Urease based biopsy may not be reliable and other method of determining <i>Helicobacter</i> status may be required.</li> </ul>
<b>Duodenal</b>	<ul style="list-style-type: none"> <li>• Suspicion of coeliac (4 biopsies D2 or beyond plus 2 biopsies from D1)</li> <li>• Polyps, masses or inflammation in the immunosuppressed</li> </ul>	<ul style="list-style-type: none"> <li>• Minor abnormalities of the duodenal cap</li> <li>• If TTG Antibody is negative in patient with Fe deficient anaemia but no weight loss or diarrhoea</li> </ul>

**Figure 1** Newcastle hospitals protocol for biopsy sampling during upper gastrointestinal (GI) endoscopy.

In 2015, 10% (n=15) had patient management changed based on histology:

One patient had Hp-associated gastritis on histology, and microbiology subsequently confirmed Hp sensitivities (patient was under care for resistant Hp).

One patient had lymphoma confirmed on biopsy (patient was under follow-up for lymphoma, and OGD showed nodular gastritis).

Seven patients were recommended for Hp treatment by their GP if not already received it as biopsies showed Hp, and UBHT was not documented as having been performed.

Six patients were recommended for Hp treatment by their GP if not already received it as biopsies showed Hp, and UBHT was documented as being done but result was not recorded at time of OGD.

## DISCUSSION

Gastric biopsy and histological assessment provide a critical adjunct to endoscopic assessment of the gastrointestinal tract. However, taking only appropriate biopsies can considerably reduce histopathological cost and workload in a health system under pressure.<sup>7</sup> Several studies have supported the theory that there is no increased detection of malignancy by routine non-targeted biopsy.<sup>4</sup> Despite this, there is limited general guidance. Guidance within Leeds Hospitals is one example of where such guidelines exist.<sup>7</sup>

Data were collected over a 3-month period during each audit cycle to allow comparison. This resulted in a very similar total number of endoscopies; however, the biopsy rate was significantly lower in the 2015 cycle. Demographics of the patient groups were similar in both cycles, enabling us to draw direct comparisons.

## ENDOSCOPY

Table 1 Breakdown of data collection by year and grade of endoscopist

Year of data collection	2013	2015	
Number of endoscopies	2288	2244	
Number of biopsy sets (rate)	419 (18.3%)	333 (14.8%)	19% reduction p=0.0001
Number of non-targeted biopsies (rate)	239 (10.4%)	150 (6.7%)	36% reduction p=0.001
Consultant			
Number of endoscopies	949	897	
Number of biopsy sets (rate)	90 (9.5%)	105 (11.7%)	19% increase
Number of targeted biopsies (rate)	45 (4.7%)	63 (7%)	
Number of non-targeted biopsies (rate)	45 (4.7%)	42 (4.7%)	0% reduction p=0.161
Registrar			
Number of endoscopies	806	625	
Number of biopsy sets (rate)	136 (16.9%)	100 (16%)	5% reduction
Number of targeted biopsies (rate)	76 (9.4%)	58 (9.3%)	
Number of non-targeted biopsies (rate)	60 (7.4%)	42 (6.7%)	9.5% reduction p=0.746
Nurse endoscopist			
Number of endoscopies	533	722	
Number of biopsy sets (rate)	187 (35%)	125 (17.3%)	51% reduction
Number of targeted biopsies (rate)	54 (10%)	59 (8.2%)	
Number of non-targeted biopsies (rate)	133 (25%)	66 (9%)	64% reduction p=0.001

This reduction in biopsy rate was only seen in non-targeted biopsy rate which reduced by 36% and was very likely to have been due to the development of a guidance document (figure 1), and education. Simultaneously, the percentage of targeted biopsies taken remained static.

Assuming a cost for each biopsy set as £103.51, this represents an annual saving for our trust of £36432. This assumes that biopsies are taken on each occasion for UBHT to diagnose the presence or not of Hp.

Both data sets showed that the vast majority of non-targeted biopsies did not alter the management of the patient. In the instances where this was the case, this largely centred around Hp treatment. The two patients who had serious abnormalities detected on histology following 'normal' endoscopy, were patients who would be deemed 'high-risk', requiring surveillance endoscopic biopsies.

### OPERATOR DEPENDENCY

Both registrar and nurse endoscopist grades showed a reduction in the percentage of non-targeted biopsies taken, with the nurse endoscopist grade showing the largest reduction. This could be purported to

be secondary to education provision and especially the development of a publicised protocol. Consultants already had a low rate of non-targeted biopsies and therefore the differential reduction is smaller. Within this low rate, there may have been something particular about those patients precipitating the decision to biopsy, not explicit in the endoscopy report.

Number of biopsies taken at OGD may vary between operator, often with no additional detection of pathology,<sup>6</sup> which may be attributed to balancing and accepting risk. Development of specific guidance is therefore particularly helpful.

There are also recent data suggestions that missed cancers may be more to do with poor visual detection of abnormality at endoscopy than to do with non-biopsy of areas that look normal.<sup>8</sup> This includes length of time taken to perform endoscopy.<sup>9</sup> Scrutinising how we perform endoscopies to enable optimal visualisation of the gastric mucosa may improve this.

### HELICOBACTER DETECTION

The vast majority of alterations to patient management were based around Hp treatment. In a high percentage of these, it was unclear from documentation whether the patient had already been treated; therefore, the actual percentage of patients who experienced an alteration to their management may actually be lower than we reported in both years. Taking histology for the sole purpose of *Helicobacter* testing is expensive. There are cheaper and reliable alternatives to *Helicobacter* testing other than histology based,<sup>10</sup> including the UBHT, and these should be used instead where possible.<sup>11</sup>

Table 2 Histopathology result of non-targeted biopsies by year

2013 (n=239)	2015 (n=150)
72% gastritis (n=173)	70% gastritis (n=105)
16% Hp (n=38)	17% Hp (n=26)
11% normal (n=26)	9% normal (n=14)
0.5% low-grade dysplasia (n=1)	3% unknown (n=4)
0.5% adenocarcinoma (n=1)	0.7% lymphoma (n=1)

p, *Helicobacter pylori*.

## LIMITATIONS

Histology showing intestinal metaplasia was not categorised as changing the management of the patient in this study. Our data showed that this finding at histology did not change the management, although there have been recent data suggesting intestinal metaplasia is a precursor to malignancy and should be under surveillance. However, at present, even though intestinal metaplasia is commonly found in practice, there is no clear consensus on recommendations for surveillance to guide physicians.<sup>12–14</sup> The European Society of Gastrointestinal Endoscopy Quality Improvement Initiative<sup>15</sup> suggests taking four non-targeted biopsies to look for intestinal metaplasia or dysplasia as precursors following management of precancerous conditions and lesions in the stomach (MAPS) guidance. However, the performance measure excludes ‘UGI endoscopy with normal gastric findings’, and the denominator is ‘all endoscopic examinations where assessment of the gastric cancer risk is considered clinically relevant’. We suggest patients who are deemed clinically to be ‘at risk’ of gastric cancer have biopsies taken as recommended, and therefore remains in line with the European QI recommendation. This also applies to patients with any suspicious mucosa at endoscopy, as in our study these patients were classed as having ‘targeted’ lesions and therefore would warrant biopsy.

Although ideally the same endoscopists would be reaudited, this was not practical as Registrars rotate on an annual or 6 monthly basis, and there is inevitable movement of staff.

Follow-up of patients beyond the end date of the study has not been performed to determine whether future

malignant lesions developed; this would need to be done on a prospective basis in a long-term study.

Our data collection was restricted to those patients undergoing biopsy in a specific trust within the North-East of England. While the data collected were of sufficient number, the results may not be applicable to other parts of the world, due to varying incidences of gastric malignancy in different populations.

## CONCLUSIONS

Development of a local protocol reduces the numbers of non-targeted biopsies taken, without the risk of harm to the patient from not detecting malignant conditions. This enables a significant reduction in workload within histopathology services, with significant cost savings. Localised protocols would allow adaptation to different population demographics.

**Contributors** The planning and reporting of this paper was contributed to by all named authors. Data collection was undertaken by VG (lead author), who also acts as guarantor for this paper.

**Competing interests** None declared.

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## Significance of this study

### What is already known on this topic?

- ▶ Unnecessary biopsy sampling of gastric mucosa does not alter management in the majority of patients, and is costly.
- ▶ Research to date has not shown an increase in the detection of neoplasia from obtaining non-targeted biopsies.
- ▶ However, it remains widespread practice and as a result, histopathology departments are often under significant workload pressures.

### What are the new findings?

- ▶ Development of a local protocol reduces the numbers of non-targeted biopsies taken, without risk of harm from non-detection of malignant conditions.
- ▶ How might it impact on clinical practice in the foreseeable future?
- ▶ Localised protocols could be adapted to local population demographics, to enable a significant reduction in workload within histopathology services, with significant cost savings.

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